California Health & Human Services Agency
Center for Data Insights and Innovation
Data Exchange Framework Information is Power Webinar Series: DxF Data Sharing Agreement Fact vs. Fiction, DxF Grant Applications, & QHIO Applications Webinar #6 Q&A Log (10:00 AM – 11:00 AM PT, February 21, 2023)

The following text is a transcript of the February 21st Data Exchange Framework Information is Power Webinar Series: *DxF Data Sharing Agreement Fact vs. Fiction, DxF Grant Applications, & QHIO Applications*. The transcript was produced using Zoom's transcription feature. It should be reviewed concurrently with the recording – which may be found on the CalHHS Data Exchange Framework website to ensure accuracy.

[DeeAnne McCallin] 13:00:02

Thank you for you for joining us. My name is DeeAnne McCallin, deputy director for the data exchange framework at the Center for Data insights and Innovation.

[DeeAnne McCallin] 13:00:11

And I'm pleased to welcome you to another installment of our information is Power Webinar Series.

[DeeAnne McCallin] 13:00:17

For those of you who are joining us for the first time.

[DeeAnne McCallin] 13:00:21

The information of Power Series is a set of informational webinars that Cdi has been hosting and is continuing to host to discuss California's data exchange framework it's data sharing agreement and the policies and procedures right and the programs that we are developing to support the

[DeeAnne McCallin] 13:00:39

implementation for your ongoing reference. Each webinar will be recorded and posted to the Cal.

[DeeAnne McCallin] 13:00:48

Hs, Dx F website. Along with the presentation Slides.

[DeeAnne McCallin] 13:00:52

Dxf is the acronym that we tend to speak for the words data exchange framework.

[DeeAnne McCallin] 13:01:00

Next slide.

[DeeAnne McCallin] 13:01:04

At any point during today's webinar. Please feel free to submit your questions through the zoom question and answer.

[DeeAnne McCallin] 13:01:11

Function at the end of the presentation our team will select some questions from the Q.

[DeeAnne McCallin] 13:01:16

And a to be able to answer, live as time permits.

[DeeAnne McCallin] 13:01:21

If your question is not answered, live during this session, and you would like to follow up with us.

[DeeAnne McCallin] 13:01:27

Please submit to our email address C. D. Ii. At C.

[DeeAnne McCallin] 13:01:31

H dot c a dot g o V. We welcome questions, comments, feedback to that email box anytime.

[DeeAnne McCallin] 13:01:43

Next slide.

[DeeAnne McCallin] 13:01:47

So I'll be leading a lot of this session this hour.

[DeeAnne McCallin] 13:01:50

And then my colleagues with me are Juliet Mullen and Cindy Barrow.

[DeeAnne McCallin] 13:01:55

They are both senior manager and senior senior advisor with Manila health strategy, and Manette has been working with Cdis since basically the beginning of the data exchange framework.

[DeeAnne McCallin] 13:02:07

This summer of 2021. So I'm happy to have them join me about halfway through this hour, if not sooner.

[DeeAnne McCallin] 13:02:15

Next slide. Today's agenda. Review of the Vision for the data exchange in California.

[DeeAnne McCallin] 13:02:23

The data exchange framework program updates grant program details how to apply for grants that are available.

[DeeAnne McCallin] 13:02:32

An overview of the Qhio application, and then a wrap upup with, of course, again, that is dependent upon if time permits.

[DeeAnne McCallin] 13:02:44

Alrighty, jumping right in.

[DeeAnne McCallin] 13:02:50

Division for data exchange in California. Next slide. Please.

[DeeAnne McCallin] 13:02:56

Our vision is for every Californian, and the health and Human service providers in organizations that care for them will have timely and secure access to usable electronic information that is needed to address their health and social needs and enable the effective and equitable delivery of services to improve the lives and

[DeeAnne McCallin] 13:03:18

well-being. That is the vision that is behind the establishment of the data exchange framework.

[DeeAnne McCallin] 13:03:23

Here in California, next slide.

[DeeAnne McCallin] 13:03:30

Alrighty jumping into program updates. Next slide.

[DeeAnne McCallin] 13:03:38

Since our last information is power. Webinar, this is what has the work that has been going on?

[DeeAnne McCallin] 13:03:44

Cdi facilitated the Iac, which is the Implementation Advisory Committee meeting on February second.

[DeeAnne McCallin] 13:03:54

That was the fourth meeting of the Iac, where we solicited input on several draft policies and procedures.

[DeeAnne McCallin] 13:04:01

We covered Grant program funding maximums and the qualified health information exchange application and the data exchange framework Participant Registry.

[DeeAnne McCallin] 13:04:12

So that was all part of what we met with.

[DeeAnne McCallin] 13:04:17

Stakeholders. Early February we closed the policy and procedures.

[DeeAnne McCallin] 13:04:23

Public comment period on February fourteenth, we are currently in the process of reviewing this stakeholder input on those 5 draft.

[DeeAnne McCallin] 13:04:31

There was for draft policies and procedures, and one proposed amendment to a previously finalized policy and procedure.

[DeeAnne McCallin] 13:04:42

We appreciate everyone, submitting comments. We have a lot of them.

[DeeAnne McCallin] 13:04:47

And the review is ongoing, and we hope to be wrapping that up pretty soon.

[DeeAnne McCallin] 13:04:53

We're finalizing eligibility, criteria and funding parameters for the Qhio.

[DeeAnne McCallin] 13:04:59

The qualified Health Information Exchange organization, onboarding and technical assistance.

[DeeAnne McCallin] 13:05:05

Grant domains, and then finally, we have discussed the Qh.

[DeeAnne McCallin] 13:05:09

I/O. Application and criteria for the program, including functional capabilities and and Qhio operations.

[DeeAnne McCallin] 13:05:18

We covered that a lot at the February second Iac meeting and also at a public Town Hall meeting.

[DeeAnne McCallin] 13:05:24

That was well attended on February ninth. So meeting materials and information for all of the past activities not just open the past month, but over the past 8 months is available on the Calhhs data exchange framework website.

[DeeAnne McCallin] 13:05:41

Already. Next slide, please.

[DeeAnne McCallin] 13:05:46

January thirty-first of this year, 2023, was a big day.

[DeeAnne McCallin] 13:05:51

It was the deadline for signing the data, sharing agreement.

[DeeAnne McCallin] 13:05:56

So Dsa stands for data sharing agreement.

[DeeAnne McCallin] 13:05:58

We wanna take a moment to celebrate and express gratitude to over 1,400 organizations represented by nearly 1,000 entities, over 800 ambulatory care facilities and practices, have signed over 120 hospitals and other acute care setting organizations, have signed over 80

[DeeAnne McCallin] 13:06:21

long-term care facilities have signed, and over 70 health plans and insurers, and then another bucket of white, a wide variety of other entities, community based organizations and voluntary signers.

[DeeAnne McCallin] 13:06:36

There is a full list provided on our website, and when when you go to the website that was on the previous slide, there is a link to the website, and you can find who signed the data sharing agreement on our website, we are updating it weekly, and we do hope that be able to provide more

[DeeAnne McCallin] 13:06:57

information in it, but in the spirit of getting information to you sooner rather than later, as opposed to waiting to perfect the the way that the data is in the signing portal.

[DeeAnne McCallin] 13:07:10

We are posting the entity names of Who's signed and not yet able to provide the information on the participant types in that.

[DeeAnne McCallin] 13:07:19

But we are working on that. But that's where we really thought it was important to get him.

[DeeAnne McCallin] 13:07:23

The list of who assigned and be transparent about that as fast as we can, and that list is being updated weekly.

[DeeAnne McCallin] 13:07:32

Alrighty! Next slide!

[DeeAnne McCallin] 13:07:38

As most everyone here knows. January thirty-first, was the deadline to sign the data sharing agreement, and it was the Major milestone, one of the major milestones of the establishment of the data exchange framework by now every organization required to sign the

[DeeAnne McCallin] 13:07:57

Dsa. Based upon statute, should have signed, and any required organization that has not signed should do so immediately.

[DeeAnne McCallin] 13:08:04

So we do encourage you to sign a as soon as possible.

[DeeAnne McCallin] 13:08:09

The signing portal is still open, though we are beyond the deadline, and we're committed to that treparency, and we'll work with anyone to answer questions and resolve issues that they may have please reach out to us at that Cdi email address that I read earlier and there.

[DeeAnne McCallin] 13:08:27

Are many myths, so as some folks have been reaching out to us since January 30 first, and especially that last week, we've been able to identify some myths that were really trending and consistent.

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[DeeAnne McCallin] 13:08:39

So this slide is, is speaking to some of those to try to help.

[DeeAnne McCallin] 13:08:44

Calm the nerves out there, and and to let people know that we are hearing some consistent questions and things.

[DeeAnne McCallin] 13:08:51

So I'll be reviewing these myths. Some signature some organizations have a mistaken belief that they can request an extension to sign the Dsa.

[DeeAnne McCallin] 13:09:02

So some folks have have reached out to Cdi. Oh, we would like a an extension.

[DeeAnne McCallin] 13:09:08

We're still reviewing. We do not have that authority to give an extension.

[DeeAnne McCallin] 13:09:15

So neither Cal Hs nor Cdi can extend the Ab.

[DeeAnne McCallin] 13:09:19

1, 33 stretch term deadline requirement for mandatory signatures.

[DeeAnne McCallin] 13:09:25

So there is a deadline, and we do encourage anyone to sign who has not yet done so good.

[DeeAnne McCallin] 13:09:31

Second math is the data sharing agreements, policies and procedures were developed with limited public input so they have been developed through open public comments and transparent process.

[DeeAnne McCallin] 13:09:45

There are. There's a subcommittee with a multi-stakeholder, participants, and they have been providing through the process since since at least July of of 2022, and probably even before that a project which provides recommendations the pathway

[DeeAnne McCallin] 13:10:07

for recommendations and inputs. And now it moved from the advisory group to the Implementation Advisory Committee. And we're still.

[DeeAnne McCallin] 13:10:17

We then review and accept public comments on those that there were ton of comments that were submitted on the February fourteenth deadline for the current round, and the previous finalized policies and procedures mirror the same process, so that that all happened for the most part last summer

[DeeAnne McCallin] 13:10:36

or last spring, actually. And we do appreciate everybody's, continued paying attention that the policies in procedures are not super long.

[DeeAnne McCallin] 13:10:47

So I do encourage folks to navigate through our website to open those documents up and read them already.

[DeeAnne McCallin] 13:10:54

Myth number 3, mandatory signatories do not have to sign the Dsa.

[DeeAnne McCallin] 13:10:59

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Because the policies in procedures may change, so that has been one of the reasons, especially since there was a public comment period open during right around the January thirty-first deadline folks were saying I we can't find this because there's still policies and procedures that are being

[DeeAnne McCallin] 13:11:17

changed as is true, with every framework. The Pmps will develop, Pmps will develop and change over time as the needs of the participants and industry standards change best practices advanced and federal requirements expand, but we do have the framework has a process for amending.

[DeeAnne McCallin] 13:11:36

Policies and procedures, and it is in a policy of procedure itself, modifications to policies and procedures.

[DeeAnne McCallin] 13:11:43

And it's available for for reading, for your review. There is no exception in the law that allows mandatory signatories not to sign the Dsa.

[DeeAnne McCallin] 13:11:52

Because the policies and procedures may change. So the establishment of the framework took into account the need to be able to move with the timeline and to do it in a transparent and public and stakeholder way and we're following those processes along alrighty next slide please.

[DeeAnne McCallin] 13:12:18

Another myth, that the data sharing agreement and the policies and procedures require entities to use a health information, organization, or other exchange intermediary.

[DeeAnne McCallin] 13:12:31

So sometimes you'll hear myself. Other folks talk about hios or H, ie.

[DeeAnne McCallin] 13:12:37

Health information exchange, or we're talking about the same.

[DeeAnne McCallin] 13:12:41

The in reality, the data exchange framework, the Dsa and the policies and procedures in accordance with A.

[DeeAnne McCallin] 13:12:49

B 1 33 do not require the use of an H. I.

[DeeAnne McCallin] 13:12:52

E, data, exchange, framework, qualified health information, organization.

[DeeAnne McCallin] 13:12:57

If you're new today to these Webinar series, to the framework, or to hearing about Q.

[DeeAnne McCallin] 13:13:03

H I don't worry there's some information later on in this hour to give you some background on that.

[DeeAnne McCallin] 13:13:09

A, B, 1, 33 is very clear that the framework is not intended to be an information technology system or a single repository of data.

[DeeAnne McCallin] 13:13:20

Rather it is technology, agnostic, and it's a collection of organizations that are required to share health information using national standards.

[DeeAnne McCallin] 13:13:29

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I believe that's actually an excerpt from A B 1, 33, and entities may use any technology that adheres to specified standards and policies.

[DeeAnne McCallin] 13:13:40

So that's where our there are some technical requirements and details laid out in some of the established policies and procedures.

[DeeAnne McCallin] 13:13:50

And I will, simply before and then I believe this is our last month.

[DeeAnne McCallin] 13:13:57

To cover it. For today is the data exchange framework requires signatories that are covered entities to share protected health information also known as Phi with non covered entities against the law without protecting the information so to clarify the Dxap does not require covered entities to make

[DeeAnne McCallin] 13:14:18

disclosures in violation of hipaa, or any applicable law, or to make disclosures without patient authorization, if applicable law requires patient authorization, covered entities are not submitting data to a State database that non-covered entities can access so there is

[DeeAnne McCallin] 13:14:39

not, but the framework is not a repository.

[DeeAnne McCallin] 13:14:43

It's not a centralized database when Phi is lawfully shared in it to a non-covered entity through the Dsa.

[DeeAnne McCallin] 13:14:51

The non-covered entity participants must also abide by all policies and procedures that a covered entity does so.

[DeeAnne McCallin] 13:14:59

This is where it's bringing into the data exchange framework the rules that come with being a cover to entity as a participant in the data exchange framework.

[DeeAnne McCallin] 13:15:12

And these roles do include privacy and security, safeguard and safeguards, because these are participants under the non-covered entities would be a signer to the Dsa.

[DeeAnne McCallin] 13:15:24

And participants under the framework like covered entities, non-covered entities can only request information for the purposes allowed under the permitted require, and prohibited purposes.

[DeeAnne McCallin] 13:15:37

Policy and procedure. So while some folks may read the data sharing agreement, which is a single Dsa for the State, it's about 9 pages long, they may not see some of these, but they'll see references to policies and proceedings and whatnot so that's where one

[DeeAnne McCallin] 13:15:54

needs to, may feel a bit more. Rest assured when they crosswalk and open up the policies and procedures that are mentioned here, that should to help lay any of the concerns.

[DeeAnne McCallin] 13:16:09

Alrighty! So next slide.

[DeeAnne McCallin] 13:16:15

Who must sign the Dsa. Over the past months we have reached we have received many questions about who must sign on the screen.

[DeeAnne McCallin] 13:16:25

You will see required signatory types, which can also be found in a.

[DeeAnne McCallin] 13:16:30

B 133 and a Dsa. At FAQ.

[DeeAnne McCallin] 13:16:33

Posted on the data exchange framework website, which I'm looking to see for there's a link to that here.

[DeeAnne McCallin] 13:16:39

But there is an FAQ on our website. So navigate, maybe we'll be able to drop that in the.

[DeeAnne McCallin] 13:16:46

I'm not sure.

[DeeAnne McCallin] 13:16:50

So this list includes organizations required to begin sharing data by Jenuary 30, first of 2,024, and then some of the entities do not need to begin sharing the data until January 2026 general cute care hospitals position organizations.

[DeeAnne McCallin] 13:17:11

and medical groups, skilled nursing facilities, also known as Sniffs, Health care, service plans and disability insurers that provide hospital medical or surgical coverage pretty long paragraph there.

[DeeAnne McCallin] 13:17:26

But that's one of the mandated per ap.

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[DeeAnne McCallin] 13:17:30

1, 33 clinical laboratories and acute psychiatric hospitals.

[DeeAnne McCallin] 13:17:36

So if you're an entity type that you're not sure if you fit into one of these you should have some resources for your line of business to be able to help crosswalk you to whether you clearly fit into one of these or not so I'll pause.

[DeeAnne McCallin] 13:17:53

There we will do questions and answers at the end. I encourage you to submit questions and answers as we go, and.

[DeeAnne McCallin] 13:18:03

With that I will be passing on to my colleague, Juliet Molen.

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Wonderful. Thank you. DM!

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Stephen, here we go, perfect, all right. So we are.

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Now gonna move into a section about the data change for work grant program.

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So today I'll be walking through a couple high-level updates around the educational grant program and then we'll move into some details about the Dsa signatory grant.

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So to start to frame all of this around what the data exchange Grant program, the data exchange framework or, Dxf grant program is we'll remind folks today that in the 2022 23 California budget the State Legislature and governor allocated 50

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1 million dollars as a 2 years to support implementation of the data exchange framework.

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And so in doing this, the funding really established the data exchange Framework Grant program.

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Provide funding to external entity, to support a range of activities intended to support implementation of Dxs, including the ones listed here.

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So that includes things like health, information, exchange onboarding for organizations that do not actively share information, technical assistance for small and under-resourced providers and technical assistance and education for organizations who are new to health information, exchange especially those who

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are required to exchange data and sign the data, sharing agreement under a.

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B one day. So with this grounding in mind and this this funding established by the State Legislature, Cdi has a established really 3 critical program goals in the design of the Dxap Grant program.

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So the Dsf Grant program at a high level is going to administer 50 million dollars in funding over 2 years.

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Provide education, technical assistance, and Qato onboarding support for Dxx signatories, and to support them in in implementing the Dsl.

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The 3 really essential program goals that are driving every element of the design of these programs as well as the implementation of these programs, are, I thought, the first is supporting Dxf implementation among signatories.

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And under-resourced geographies, and or serving historically marginalized populations, and under surve communities.

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And this is a program goal and a principle that you will see come up in a number of different ways as we go through the criteria today.

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The second is that this Grant program is designed to address significant barriers to Dxf.

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Implementation. They operational, technical, or other, for signatories of the Dsa.

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And then the last is that Cdi I is being intentional about aligning across other gr programs and really promoting activities that are ineligible for funding by other grant programs be them pastors.

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With this in mind, Cbi intends to award Grant across 3 categories of grant opportunities, the first, that we talked about in a number of forms and folks may be aware, have already been awarded, are the dxf executable initiative grant these provide grant funding to

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Associations for Educational Initiatives designed to provide information about the data exchange framework we're gonna talk about that a little bit more in a moment.

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The next 2 types of grants are groups into a high level, into a category we call Dsa signatory grants, so as it sounds, these grants are will be going to Dsa signatories to support them in implementing the data exchange framework the first of these 2 grant

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opportunities are our Ca grants. This provides grant funding for a range of ta options to support signatories, meaning in meeting their Dsa requirements, and the second is a Qhio onboarding grant, and this is on more of an assisted pathway for organizations to choose to meet

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their Dsa requirements by connecting to a qualified.

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And we're going to spend a lot of time today talking about these 2 Dsa signatory grants.

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But before we dive into that, I wanna share a couple of updates about the educational initiative grants.

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So starting there, as folks may know already, 8 associations will be providing education about the data exchange framework, statewide over the coming years.

These are gonna be working with Cdi over the course of the year to host webinars, release toolkit do a kind of a wide range of educational activities.

In addition to hosting these activities, these organizations are available to answer questions from signatories about implementation one of the things that we heard you know, very loud and clear over the course of past many months, and that we heard in the October Dx grant listening Sessions that we did with many of

you who are attending today is that we understand that signatories have a need to understand signatory groups, specific.

Questions related to implementation, and to that end these different organizations are really here to be able to support specific signatory groups and understanding what the Dxf means for them.

So let's put on the slide here. You'll see the organizations that are working with Cdi over the coming year on education.

How you can contact them. If you have any questions and then on the right there the different types of signatory groups, they're specifically providing it 4.

This information is also available in the FAQ. On the Cdi website, which I believe was dropped in the chat just a moment ago.

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A lot of work is already in flight among these grantees, and there's many, much more to come.

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And so here we've just provided a little snapshot of some of the resources that they've made available over the past month is signatoryies of the Dsa.

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Including as thexf information hub, being hosted by a grouping of several associations LED by American citizens.

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Group. We're also looking to a fact sheet that the California Council of Community Behavioral Health Agencies is released on the Dsf.

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As a chapter chat that was hosted by the California chapter.

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One American Academy of Pediatric. Just a snapshot of some of the new resources available, and some of the upcoming events from Grantees.

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We really encourage you to take a look at that grid and go to the websites for each of these brand fees to see what resources they are making available, and upcoming events that they have that may provide you.

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Some signatory specific information. The Dx.

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With that I'm going to move into the Dsa signatory grant and what we're going to do today in this section is provide an overview of the core criteria and parameters that have been developed to date on the dsa signatory.

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You'll notice a little flag up in the right corner on every slide today.

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That the the gratitude continue to be in development at our subject, to change.

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But we are sharing today a high level of what has been developed today, so that you, the public, can get a good understanding of where this program is going and what it will look like as it becomes finalized over there the very first thing to share on dsa signatory brand is who is elisible for

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them. I'm so I alluded to this already, but to be eligible for either the Qa.

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Title Onboarding, or the Ta Grant applicants must first of all sign the data sharing agreement prior to submitting their grant application.

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So these graphs are intended to subsidize investments to achieve D fa requirements for signatories of the Dsa.

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And so, being a signatory, is the first eligibility requirement for this Grant domain.

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The second piece here is that organizations eligible for this Grant domain do need to.

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They have to require additional support and capabilities to meet their Dsa requirements.

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So that could mean one of 2 things. It could be either that the organization has identified, that they need to connect to it to an Asio that Cdi has determined to achieve Dsa requirements through its Q.

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Hio qualification programs. Or it could mean that they need to use some other technical solution or operational assistance to achieve their Dsa requirements.

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A different way of saying, that is, organizations who already are achieving their Dsa requirements would not be eligible for a brand in in this category. And I will note that we will be talking a lot more about the Qhio program after this section and the Webinar.

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So there are 2 types of Dsa signatory grants and a Dsa signatory can apply for one of the following grant opportunities, so a Dsa signatory that has determined that it would like to apply for grant funding from Cdi but one of the very first decision points will

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Grant I'll just give a brief overview of what we eat.

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Those are, and then we're gonna dive into it's a lot more detail at a high level.

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But q Ando. Onboarding grant it's what we think of as an assisted pathway for grant support, and this in many ways come out of some of the feedback we heard from many of you in October, when we held grant listening sessions, and one of the things that we heard

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loud and clear is that the process of applying for and managing Grant funds can be a very complicated one for an organization.

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And so Cdi has really mapped out a process through which the process for applying for grants in in one of these domains is a is an assisted process.

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That is true, and intended to really support the signatory and to end in the grant process. That is, the Qa.

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Onboarding grant opportunity in this assistant pathway.

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Cbi and Qh. Ios will support grantees and identifying a technology solution.

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That would achieve their Dsa requirements and in securing and managing the funds to pay for the initial cost of that solution.

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So in this process, applications would be submitted by a third party contractor that Cdi has secured to support organizations in writing and applying for grants the funds would actually be the first to Q.

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Hios! And then the milestone reporting requirements that we'll get into in a moment would be managed by Qaj.

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The Ta. Grant opportunity as more of a quote unquote.

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Build your own solution, grant opportunity. So in this opportunity, signatories can use the funds to to fund a range of technical and operational assistance activities to achieve their Dsa requirements, we'll talk about in a moment what what they can use it for in a

[] 13:29:02

moment, but the key piece here is that in this ta!

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Grant opportunity applicants are sorry. Signatories are actually submitting the applications themselves.

The funds are dispersed to signatories, and the milestone reporting is done by signatories.

So this is really a process in which signatories are, you know, identifying what they need, funding for and managing the process of securing and managing fund-sides directly themselves.

With that flaming in mind. We'll dive into each of these.

So first of all, begin with the Qato onboarding grants and we'll start first by sharing how each how the funding can be used for each of these domains.

So per qato onboarding grants here we've listed permissible and impermissible uses of funding for this category permissible.

Yeah, uses the funding at a high level. Of course this grant is to support onboarding into a qualified health information organization 2 Ios and signatories must agree on how funds will be used to support onboarding so when a Q&A and a signatory sign a contract to work together

and and Grant Grant funding is received onboarding the Qa.

Fa on the signatory will agree on how that grant funding will be used to support the ongoing to the costs that are permissible in this category are listed here so qaos can use the funding to offset as qatio costs so that might be

[] 13:30:24

the cost for Q. And A to connect to a Dsa signatory Phr.

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It might also be the cost for Q. And a. To develop additional capabilities to perform the HIV services specified in the milestone. But Qa. Cha.

[] 13:30:40

Can also use the funding to offset other costs, and so that would might look like configuration costs for the Dsa signatory thr to connect to the queue.

[] 13:30:48

It might include costs for the signatory to retain a technology consultant or an it or it staff onboard.

[] 13:30:55

The key piece here is that the Qa time signatory are agreeing on how going to be?

[] 13:31:01

And permissible uses of funding, but really key pieces here, and I will read through all of it.

[] 13:31:08

But the really key piece is here. Is that something for the Qa.

[] 13:31:11

To onboarding. Grant cannot be used for ongoing h-e operations so it's really focused on initial Qato onboarding activities.

It also cannot be used to purchase new Ehr technology to onboard, to a non-profit.

And finally, I'll just note here that a. Q. 20.

Onboarding grant can only be secured to connect to one Q.

Hio. If an organ, if a single signatory organization operates to, for whatever reason, connect to multiple queues in Grant can only be secured to connect to one Q. Hio, it's an organized, a single signatory organization opted to onboarding.

Next for the Ta. Grant. So the use of funding in the Ta. Grant domain.

So this again, is to build your own solution opportunity where you can fund a wide range of ta activities.

So an organization that wants to go this route could use funding in a number of different ways, all of which are intended to help them achieve their Dsa requirements.

So the first is, it could be used for identifying or contracting with a vendor that would provide a technology solution for meeting Dsa requirements that can be a Q. Hio.

[] 13:32:30

It can be a different type of technology vendor of the key piece. Here is getting support for the identification process, figuring out what the right solution is for the organization.

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Second, Core, permissible use is for onboarding costs to implement a technology solution.

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So again. That could be a Qaeda or a different type of technology solution.

[] 13:32:50

But it must be a technology solution that helps the organization establish the real-time data exchange that's required by the Dsa.

[] 13:32:58

But that can be used. That's sorry. But third is, it can be used for adjusting, upgrading, or adopting an Ehr or other technologies system, for you know, like a social services organization that might not use an eta it can be used to adjust upgrade or

[] 13:33:14

adopt those systems as necessary to help achieve real-time data extension.

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Then the last piece we called out, here is creating and providing training on operational or clinical workflows associated with real time data exchange in permissible use.

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[] 13:33:31

This at a high level, but the kind of core principles that activities that do not support the signatory and achieving its Dsa requirements or establishing data exchange of other health and human services organizations could not be funded using that so all the grant funding in

[] 13:33:48

this domain really needs to be in service of achieving Dsa requirements.

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Other key pieces. We highlight here is that it does need to be used for the activity specified in the grant applications.

[] 13:34:01

So for a Ta. Grant, application to applicant will detail what they're going to use the funding for, and then the last piece is, it cannot be used for ongoing subscription or recurring costs of a Q.

[] 13:34:12

Hio, ehr other health it system.

[] 13:34:16

With that in mind, I'm going to start a little bit.

[] 13:34:18

What the application and funding flows look like for each of these grand domains.

[] 13:34:22

So, beginning with the Qa Tao onboarding branch, this is again, I will remind folks, the assisted pathway, and you'll see as we walk through this, what that means.

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The step, one, a signatory decides it wants to onboard with a Q.

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Hio to achieve its Dsa requirements. It looks at the list of eligibility requirements and determines that it is eligible for Q&A onboarding.

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Grant, step 2. The signatory is going to submit a request for K-high, which has been contracted by Cdi to help complete and submit the application on their behalf to Cbis.

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So Cdi has obtained a support for signatories to really helps the whole end-to-end process of filling out the application, gathering any required documentation that's needed and submitting it to Cdis.

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Step, 3 on Cdi will review the application and announce the award decision.

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An amount, and this will happen approximately quarterly.

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We'll speak about that in a moment. When we talk about route.

[] 13:35:22

Assuming the signatory receives, grant funding the signatory will select a qhio, and that Qa.

[] 13:35:29

Tao will receive and manage the Grant button. I will pause here just to note.

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That the signatory can select. A earlier in this process a signatory can, in fact, select the Qao they want to work with before they even submit.

[] 13:35:42

The grant, application, but this is a note here that at this stage in the process this is kind of the deadline by which an organization of by which a signatory would have to have selected the Qh. I/O.

[] 13:35:55

It's going to onboard. The Qao and the signatory agree on how funds will be used to support onboarding.

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So our next step here is step 5. Step 5 is the achievement of milestone, one.

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So funds for both the Q. And A. And the Ta.

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Grant are released on a milestone basis, and the first milestone is a contract being signed between the signatory and the Qh. Tao for the Qa Tao onboarding brand.

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Domain, so when the signal, when the contract is signed, the Q-to.

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Will submit a Progress report, a testing to the signs, contracts, and giving proof of the signs, contract and then the Cdi will release milestone, one funding to the Qhio.

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So again. This is the assisted pathway and the Qao is actually going to manage the Grant management process directly with Cdi.

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For this one milestone, 2 is achieved when the successfully completes onboarding of the Signatory, and real time data exchange begins.

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The qhio will submit a proper support, attesting to complete completed onboarding, and that progress support would be co-signed by the signatory.

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Once that second proper support is submitted, milestone 2 funding would be released.

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For the Ta. Grant. That looks very similar. But I'm gonna highlight. A few core differences as we go through.

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Step, one for the Ta. Grant is that a signatory decides.

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It needs technical assistance to achieve its Dsa requirements and determines it is eligible for a that 2 is going to be the signatory identifying.

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It's specific ta need and ta contractor or contractors that could address those needs.

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The signatory will then submit a grant application to Cdi, requesting funds.

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Cdi will review that application and announce the award decision and amount, and then step 4 is the signatory themselves, receiving and managing the grant from the Grant funds from Cdi and paying a ta contractor for their ta services.

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So I really key piece here is unlike in the Qa.

[] 13:38:02

File onboarding domain. The signatories completing the Grant application themselves, submitting it to Cdi, and then the funding will actually flow to the signatories.

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So the Signator will manage the entire process of receiving grant funding, demonstrating milestones, as shown on this next slide.

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Here, so milestone one the signatory, and the Ta.

[] 13:38:24

Contractor, sign a contract for ta services, as specified in the Ta.

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Grant application, the signatory will submit a progress report test into the signed contract, and then receive my M.

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One funding from Cdi milestone 2. The Ta.

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Contractor completes all services at specified in the Ta.

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Grant application. The signatory will submit a progress report attested to completed completed services and receive mountain to funding.

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In order to support signatories and understanding what they can apply for in terms of the amount.

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Cbi is going to be setting funding maximums for different signatory groups.

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So signatories will be eligible for different funding maximums based on their organizational type and characteristics, funding maximums will take into account whether organizations have received prior Federal State funding for similar investments and whether they serve Californians and historically marginalized

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populations, or underserved and underfunded geographic areas.

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As a note applicants for the Ta Grant domain specifically will have to explain the amount of funding they are requesting, based on the Ta.

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They would procure with them. So, as every time spoken to with the Ta Grant domain, there's a range of things someone can apply for and given that range, the ta application would actually specify what the ta would be and what that ta would cost in order to set these funding maximum

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Cdi was informed by the Dxf. Implementation Advisory Committee, which represents a diverse range of Dxf.

[] 13:40:02

Stakeholders as well as past grant programs and based on that kind of input Cvia has proposed.

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Cbi has proposed setting a range of funding maximums between 50,000 and 100,000, depending on the signatory type and organizational care.

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As a note. The funding is intended to subsidize investments in achieving Dsa requirements, and really intended to support organizations in that way.

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With that being said, this list here gives a general sense of the Cdi.

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Proposed funding maximum by signatory group. So you can see here that General cute care hospitals, acute sexiatric hospitals, and skilled nursing facilities would be eligible for 2 different types of funding maximums depending on their organizational

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characteristics. First, if an organization in needs these signatory groups are serving underserved communities and geographies, and they did not receive funding from past health, it funding programs, they may be eligible for up to a $100,000 in funding organizations in these signature groups that do not

[] 13:41:15

meet that criteria would be eligible, for up to $50,000 in funding.

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So I do highlight the up to just so to kind of emphasize that these are funding Max Phones.

[] 13:41:25

It does not indicates the specific amount that each organization will receive.

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But this is a guide post, for what organizations can request in their requests.

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I will also note that the specific criteria here around serving underserved communities and geographies and not receiving previous funding from health.

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It programs. Oh, comes into play here, as we think about funding maximum, because these are not eligibility requirements for the program.

[] 13:41:54

And so organizations that have received past health, it funding, for example, are not ineligible for the grant programs.

[] 13:42:01

It merely helps determine what their funding maximum might be.

[] 13:42:07

I'm not going to refresh the whole slide, because I know we're running a little bit behind right now, but I will just note, are some additional groupings here on physician organizations and medical groups again.

[] 13:42:18

There's the distinction, the same Pm. That we see for the previous grouping, with certain types of organizations eligible for 50,000 and others.

[] 13:42:26

Eligible for 35 health insurance plans are eligible, for up to 35 clinical laboratories eligible for up to 15,000 and a very broad category of other dsa signatories eligible for 50,000 if they need the

[] 13:42:41

criteria of serving under served communities and geographies, and not receiving funding from past health.

[] 13:42:47

It programs. And then 25,000 if they do not need those criteria.

[] 13:42:52

This grouping would include organizations such as CEO, serving this grouping would include organizations such as Cbo serving social services.

[] 13:43:00

Sorry Cbo's providing special services, counties, and another types of signatory groups that are not explicitly listed on.

[] 13:43:09

There will be multiple funding rounds for the Vfa signatory ground grants, as we saw earlier in the presentation.

[] 13:43:17

Say, up to 20, up to 47 million dollars in funding will be allocated to applicants across at least 3 rounds of funding.

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So the exact funding amount awarded for ground round Grant round will be finalized, based on the total funding requested by applicants in that round Cdi is going to reserve funding to ensure that there are at least 3 rounds of funding that are clearly communicated to the public.

[] 13:43:46

To be eligible for the first 2 rounds of funding applicants must be identified as a required signatory under 81, 30.

[] 13:43:55

This is designed to support required signatories in meeting their deadline to achieve their Dsa requirements and really to help them in making sure they're meeting that deadline.

[] 13:44:08

Cdis is, having the first 2 rounds for focus on those requirements.

[] 13:44:14

Voluntary signatories will have access the funding for at least one round of funding, and that will be clearly communicated by Cbi.

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As a note. We spoke a little bit to the fact that funding maximums are just that they're funding maximums, organizations in each round will be scored as a whole round on some organizations meeting a certain scoring threshold, will receive a grant

[] 13:44:42

in that round organizations who do not meet the threshold for a Grant award may be deferred to to a future round and then there may be some applications in a given round that do not meet the scoring threshold or deferral and may receive a

[] 13:44:58

determination in that round of no grant award, so in any given round, an applicant may receive one of these determinations and organizations that fall into that middle category, may be deferred to the next round, and receive funding potentially in the next round as they are kind of scored with the

[] 13:45:18

applicants in the next round, as well.

[] 13:45:22

And finally I will speak to the timeline for this.

[] 13:45:25

So at this time we are driving to release additional and we are in this moment releasing additional criteria to the public about the Dsa signatory Grant program over the coming weeks and months.

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Cbi will be released in additional details as they become available as well as application materials and guidance documents.

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[] 13:45:48

Cdi intends to open round one for Dsa.

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Signatory grants in early 2,023, a more specific date will be provided as soon as that is available.

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There are a few kind of dependencies in terms of the infrastructure that needs to be set up to receive an award grants, and once those are finalized and more specific date will be provided around that grant opportunity Timing.

[] 13:46:16

I see there are some questions in the Q. And A.

[] 13:46:19

We'll have some time for questions in a moment. I'm going to hand it off to Cindy to walk through the Qa.

[] 13:46:23

Files. Yes, I'm happy to.

[Cynthia Bero] 13:46:25

Thank you, Juliet. Good morning. I'd like to spend a little few moments giving you some background on the Qhio program.

[Cynthia Bero] 13:46:33

I know we've spoken about it before, but this is another opportunity to learn about the program and how it might be of value to some signatories looking to meet their Dsa requirements.

[Cynthia Bero] 13:46:42

Next slide.

[Cynthia Bero] 13:46:45

So as a reminder that the role of the Qhio or qualified health information organization is to help signatories who are looking for assistance to meet their Dsa obligations.

[Cynthia Bero] 13:46:58

The date, exchange framework has a lot of moving parts, you know, data is going to be sent in, received.

[Cynthia Bero] 13:47:05

There's gonna be a need to match data to a patient record.

[Cynthia Bero] 13:47:08

They'll be a need to apply, you know, security around the movement of data.

[Cynthia Bero] 13:47:13

So we can protect patient privacy and the security of that information.

[Cynthia Bero] 13:47:17

As it moves there's a there's a there's several components to this.

[Cynthia Bero] 13:47:22

Some signatories may be in a position where they can meet the requirements of the Dsa.

[Cynthia Bero] 13:47:28

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Without a assistance of an organization, others will seek a service organization to help them with this work, and that's the intent is for the for Cdi to identify some organizations who have demonstrated the capability to assess signatories in meeting their obligations wanna make sure

[Cynthia Bero] 13:47:48

you've note the bottom bullet on this slide.

[Cynthia Bero] 13:47:51

That's not a requirement that you use a qhio.

[Cynthia Bero] 13:47:54

Some organizations will be able to meet their Dsa.

[Cynthia Bero] 13:47:57

Obligations with their own technology or with a collection of technologies that they have available to them.

[Cynthia Bero] 13:48:04

So not everyone needs a qhio, but for some a qhio will be really a valuable service available to them.

[Cynthia Bero] 13:48:12

Next, slide, so as a reminder, we use a lot of acronyms in our lives, and and so, just to remind everybody what these abbreviations and acronyms mean.

[Cynthia Bero] 13:48:26

And Hio is a help information organization. That is an organization that has services and functions to help support the exchange of health and social services, information.

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[Cynthia Bero] 13:48:37

They service sort of an intermediary helping organizations like yours move information from one place to another, and a qualified health information organization or Qhio, as we refer to it, is an organization that has demonstrated to Cdi that it has some some special capabilities and and technical foundation to

[Cynthia Bero] 13:49:03

help, support the requirements of the data exchange framework.

[Cynthia Bero] 13:49:07

So it's a. It's a very specific set of criteria that they have demonstrated to help move, support the movement of data in the data exchange framework.

[Cynthia Bero] 13:49:17

The next slide. So a question has occurred from time to time about whether or not, if I have a Qh. I/O services, that does that mean I'm I'm done that I've met my Dsa obligations because I acquired services from one of these organizations and the

[Cynthia Bero] 13:49:35

answer is, no. The Q. Hio has the ability to help and to enable compliance with the Dsa.

[Cynthia Bero] 13:49:43

But it by itself, does not guarantee that you have met your Dsa.

[Cynthia Bero] 13:49:47

Obligations. If you look at the data, the data exchange framework, and you look at the Dsa, there are a lot of different pieces to it.

[Cynthia Bero] 13:49:56

Some of them are the technologies, and some of them are obligations that go beyond just the technologies.

[Cynthia Bero] 13:50:03

So let me give an example. One of the requirements of the Dsa.

[Cynthia Bero] 13:50:08

Is that you, as a signatory that you would report a breach?

[Cynthia Bero] 13:50:13

A data breach as soon as you suspect a data breach might have a occurred that doesn't go away.

[Cynthia Bero] 13:50:20

That obligation does not go away because you' have a Qhio providing technology services to help you send and receive information.

[Cynthia Bero] 13:50:28

That's obligation remains and remains an obligation of the signatory.

[Cynthia Bero] 13:50:32

An analogy that occurred as we were putting together the presentation for today was several years ago.

[Cynthia Bero] 13:50:42

Many healthcare organizations were offered, the opportunity to earn financial incentives if they acquired certified Ehr.

[Cynthia Bero] 13:50:50

Technology. But it wasn't just acquiring the technology that made them eligible for those incentives.

[Cynthia Bero] 13:50:56

They had to also demonstrate that they use the technology in a meaningful way, that thus the meaningful use incentives.

[Cynthia Bero] 13:51:03

So think of this in a similar fashion, having a Q.

[Cynthia Bero] 13:51:07

Hio to help support your in. You know, engagement with the data exchange framework isn't is an enabler, but that still requires some work on your behalf to support and follow the policies the procedures that are part of the dsa next slide

[Cynthia Bero] 13:51:29

so you know, as just like Julie was giving you a little timeline on the Grant program.

[Cynthia Bero] 13:51:33

This is a timeline of the Q. Hio program.

[Cynthia Bero] 13:51:38

We are in the process right now of drafting the criteria for a qualified health information organization that will lead to the launch of an application process, so that organizations that want to be qualified under this program can pull together the information they need to demonstrate their capabilities that want to be qualified under this program can pull together the information they need to demonstrate

[Cynthia Bero] 13:52:01

their capabilities, and then Cdi will review those applications, and the intent is to announce the Qhios in May of this year, so that organizations who are seeking services to help meet their descent dsa obligations will have time between

[Cynthia Bero] 13:52:19

may and next January of 2024, when the data exchange starts.

[Cynthia Bero] 13:52:25

So with that I will turn things back over to Deanne.

[DeeAnne McCallin] 13:52:33

Thank you, Cindy and Juliet. We do have some time for some questions, not a ton of time, but we do have some time, and for those of you that are on the Webinar watching and viewing and reading.

[DeeAnne McCallin] 13:52:47

There are some answered questions in the queue. I'm gonna be checking to see if the Juliet would you like to jump in?

[DeeAnne McCallin] 13:52:55

Are you able to jump in right now for a couple of questions about the Grant program?

[DeeAnne McCallin] 13:53:03

That alright, and let me know if you need me to find them or reach so can you, for the take grant?

[] 13:53:09

Oh, I can!

[DeeAnne McCallin] 13:53:11

Would you clarify at the grant? Can be used for an H, ie.

[DeeAnne McCallin] 13:53:15

Interface onboarding and implementation to connect with an existing Ehr. I actually think that was close to one of your examples.

[] 13:53:24

Yeah, so yeah, it the Ca, grant at a high level, I will speak at a high level.

[] 13:53:33

I can't speak to specific individual cases for applicants, but at a high level the Ta.

[] 13:53:38

Grant can be used to pay for an H, i. E.

[] 13:53:42

Interface that would connect to an Ehr. And support an organization in achieving its Dsa requirements.

[] 13:53:48

The core kind of essential component behind all of the Ta grants.

[] 13:53:55

Use of the funding are that it will support the organization in a achieving its fee requirement, and that is kind of a essential underpinning of all of the different use cases that for funding in that grant domain.

[DeeAnne McCallin] 13:54:07

Great. Thank you.

[] 13:54:12

And I just see a couple of other questions that I can take as well if if you'd like the Ann Great.

[DeeAnne McCallin] 13:54:16

Sure! Huh!

[] 13:54:18

So I saw some questions about whether or not organizations would be eligible for Dxf.

[] 13:54:24

Grant funding, if they've received funding from other organizations or other grant programs.

[] 13:54:29

The answer here is that you know, Cdi at this time has not identified any kind of eligibility requirements that would prohibit someone from being eligible for applying if they've received other grant funding what you will recall however, is when I was walking through the

[] 13:54:45

funding maximum, an organization who's received past funding for health.

[] 13:54:51

It might not be eligible for that higher funding level.

[] 13:54:56

So the Cdi has really in its Grant program goals as you saw right at the beginning, is really focused on ensuring that organizations serving underserved communities and under surgeography who have not historically had access to this type of grant funding Cdis really

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committed to making sure that those organizations receive the support that they need to achieve.

[] 13:55:18

Their dxf requirements. So those organizations have been identified to potentially be eligible for additional funding and higher funding maximums in the Dsa signatory goal.

[] 13:55:31

However, those are not requirements for eligibility, for the Grant program.

[] 13:55:34

So if you've perceived past health, it funding that does not make you ineligible for the Grant program under the current proposal that Ci has put forth.

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I think that was the Major Grant question that was unanswered in the chat.

[] 13:55:53

Oh, I did see one question about whether organizations the Q.

[] 13:55:57

Ato onboarding brand. Whether organizations can apply for the Qato onboarding grant before qhos are designated.

[] 13:56:05

So I think, as Cindy and I have both alluded to the timelines for both of these programs are safe, subject to change.

[] 13:56:12

So timelines themselves are being finalized here. But Cdi is designing the Qa.

Sale onboarding program to allow applicants to submit an application before they've identified a qhio that they would onboard to this could mean that they might.

[] 13:56:30

They could submit an application. This would mean that they could submit an application for Q.

[] 13:56:34

Onboarding Grant prior to the designation and the formal public announcement of the and then, once they receive the grant, they would select the Q hio, that they they want to partner with, and attest to that partnership in milestone.

[] 13:56:50

One.

[DeeAnne McCallin] 13:56:52

Thank you. Yeah. That was a good question. Because it bridge both of your topics.

[DeeAnne McCallin] 13:56:57

So thank you. And we do have about few minutes left. I'll jump in on 2 very broad stroke ones.

[] 13:56:58

Yup!

[DeeAnne McCallin] 13:57:04

So I'm taking I'm taking a couple people's questions and merging them to together.

[DeeAnne McCallin] 13:57:09

And what are what are the penalties? If you did not sign, so that that's a difficult one, because they'd be 1 33, specifically does not have any penalties.

[DeeAnne McCallin] 13:57:20

It is the law, however, which is why, in the first half of this presentation, encouragement to to sign.

[DeeAnne McCallin] 13:57:26

If you have not yet signed, and there could be other mechanisms coming, or the the advisory group, and the Implementation Advisory Committee have established, and the framework has governance.

[DeeAnne McCallin] 13:57:42

So, coming up in the enforcement issues and things like that.

[DeeAnne McCallin] 13:57:46

But it is the law, so we do encourage folks to sign.

[DeeAnne McCallin] 13:57:50

And then the next question that essentially comes hand in hand is, is my organization type a mandated signatory, so that we have a slide in this deck that lists the broad stroke title categorizations of the participant types.

[DeeAnne McCallin] 13:58:06

And we're not able to legally give you a response.

[DeeAnne McCallin] 13:58:07

Whether your organization is or is not one of these, but we do feel that is something your organization should be able to that and figure out.

[DeeAnne McCallin] 13:58:19

Keep the questions coming to cdi@chhs.ca.gov.

[DeeAnne McCallin] 13:58:25

We saw one more minute. If there's anything anybody saw other than the ones I just highlighted as brilliant, prepared to wrap up.

[DeeAnne McCallin] 13:58:35

This will be this webinar will be posted both the recording and the slide deck.

[DeeAnne McCallin] 13:58:41

We take some of the trending questions and bring them to the next Iac meeting.

[DeeAnne McCallin] 13:58:47

Let's go to the next slide. See if there's some timelines or anything coming up in the last minute.

[DeeAnne McCallin] 13:58:53

So our next power is, information is power. Webinars. March twenty-third, from 9, 30 to 1030.

[DeeAnne McCallin] 13:59:01

So travel navigate to our website to find the registration link for that.

[DeeAnne McCallin] 13:59:05

But you can place a a calendar. Hold on your calendar right now.

[DeeAnne McCallin] 13:59:10

We do have upcoming iac meetings where we're combining the policy procedure subcommittee with the Iac.

[DeeAnne McCallin] 13:59:18

On March twenty-first, and to join our mailing list is also emailing us.

[DeeAnne McCallin] 13:59:25

So that that's how you get on a list. Serve.

[DeeAnne McCallin] 13:59:27

It's not a quick form, and then next slide, if there's anything else.

[DeeAnne McCallin] 13:59:33

Yeah. So the meeting schedule you'll be able to.

[DeeAnne McCallin] 13:59:36

This is on our website, and will be in the deck that will be sharing.

[DeeAnne McCallin] 13:59:40

And then next slide. Please.

[DeeAnne McCallin] 13:59:43

And our website and that's where all of our information is, especially the policies and procedures.

[DeeAnne McCallin] 13:59:50

Information on the grant programs. Materials and previous recordings plus faqs.

[DeeAnne McCallin] 13:59:55

And next slide.

[DeeAnne McCallin] 13:59:59

That may be a wrap. How do you do encourage you to stay engaged?

[DeeAnne McCallin] 14:00:04

And we appreciate everyone attending today. Thank you very much.