**Statewide Health Information Policy Manual (SHIPM) 3.2.2 – Device and Media Controls**

*Compliance Review Tool Questions #53, 54, 55, and 56*

## Artifact Must Haves and Best Practices

| **Item #** | **Topic** | **Covered (Y or N)** | **Comment** |
| --- | --- | --- | --- |
| 1 | Did the organization submit an artifact(s) regarding Device and Media controls? |  |  |
| 2 | Does the artifact(s) describe media controls, including: | n/a |  |
| 2a | * Safeguard health information from unauthorized access, use, disclosure, modification or disposal?
 |  |  |
| 2b | * Control removal of electronic health information from electronic media before the media are made available for re-use?
 |  |  |
| 2c | * Address the final disposition of electronic health information, and/or the hardware or electronic media on which it is stored – including procedures for removal of electronic health information from electronic media before the media are made available for re-use?
 |  |  |
| 2d | * Govern the receipt and removal of hardware and electronic media that may contain electronic health information into and out of a facility, and the movement of these items within the facility?
 |  |  |
| 2e | * Document the movements of hardware and digital media and any person responsible?
 |  |  |
| 2f | * Create a retrievable, exact copy of electronic health information, when needed, before movement of equipment?
 |  |  |
| 3 | Does the artifact(s) describe the use of media disposal sanitization techniques, to prevent the disclosure of health information, including:  | n/a |  |
| 3a | * Clearing
 |  |  |
| 3b | * Purging
 |  |  |
| 3c | * Destruction
 |  |  |
| 4 | Does the artifact(s) have official review/acceptance: | n/a |  |
| 4a | * Effective Date?
 |  |  |
| 4b | * Revision Date?
 |  |  |
| 4c | * Authorizing Sr./Executive Management Signature?
 |  |  |

Title(s) of Submitted Policy/Document/Artifact(s) Reviewed:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Stored Location of, or link to Artifact(s) Reviewed: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Overall CDII Reviewer Comments:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name of CDII Reviewer: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date Reviewed: \_\_\_\_\_\_\_\_\_\_\_\_

Title of or link to Other Source(s) used (e.g., sources not in checklist, templates) – *optional*:

[NIST SP 800-54](https://nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.800-53r4.pdf) Security and Privacy Controls for Federal Information Systems and Organizations publication can be found at the following link:

nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.800-53r4.pdf#page=275