

SHIPM – Update Log

Section	Page(s)	Change
1.2.1 – State Agency Responsibility	15	Updated to reflect the revision numbers on National Institute of Standards and Technology (NIST) Special Publication (SP) documents – specific items updated: <ul style="list-style-type: none"> • III.H • IV. References
2.1.1 - Authorizations	19	During a recent analysis of the Health Insurance Portability and Accountability Act (HIPAA) and Confidentiality of Medical Information Act (CMIA), it was found that CMIA preempts HIPAA – updated: <ul style="list-style-type: none"> • III.D.6 to remove reference to “or an event” and replaced HIPAA citation with the appropriate CMIA citation.
2.2.12 – Research	57	Removed citation not referenced in the policy - 21 C.F.R.
2.3.4 – Substance Use Disorder Treatment	88, 94	Federal CARES Act (H 748) and Final Rule – 42 C.F.R. Part 2 Confidentiality of Substance Use Disorder Patient Records (RIN 0932-AA32) – updated: <ul style="list-style-type: none"> • II. Policy to include new citations • IV. References to include new citations
2.4.1 – Breach and Breach Notification	104, 109	Removed citation for CA Civil Code § 1798.82 which is not applicable to public sector organizations.
3.1.2 – Incident Procedures	133, 135 - 136	Updated to reflect the revision numbers on NIST SP documents – specific items updated: <ul style="list-style-type: none"> • II. Policy • III.E • IV. References
3.1.4 – Security Management Process	140 - 145	Updated to reflect the revision numbers on NIST SP documents – specific items updated: <ul style="list-style-type: none"> • II. Policy • III.A.1 • III.A.2 • III.A.5 • III.C.3 • IV. References
3.2.2 – Device and Media Controls	155 - 157	Updated to reflect the revision numbers on NIST SP documents – specific items updated: <ul style="list-style-type: none"> • III • III.A.1 - 2 • III.B.1 - 3 • IV. References
3.2.3 – Facility Access Control	158 - 160	Updated to reflect the revision numbers on NIST SP documents – specific items updated: <ul style="list-style-type: none"> • II. Policy • III.A.1 - 4 • III.B.1 - 5 • III.C.2 - 3

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		<ul style="list-style-type: none"> • IV. References
3.2.4 – Workstation Use and Security	163 - 165	Updated to reflect the revision numbers on NIST SP documents – specific items updated: <ul style="list-style-type: none"> • III.A.2a – c • III.A.3a and c • IV. References
3.3.1 – Audit Controls	168 - 169	Updated to reflect California Department of Technology (CDT) updates to Statewide Administrative Manual (SAM) Section 5345 and new Vulnerability Management Standard (SIMM 5345-A) – specific items updated: <ul style="list-style-type: none"> • III.D.2.f – added to reflect new SIMM 5345-A • IV. References
3.3.2 – Encryption	170 - 171	Updated to reflect the revision numbers on NIST SP documents – specific items updated: <ul style="list-style-type: none"> • II. Policy • III.B • IV. References
3.3.4 – Integrity	173 - 174	Updated to reflect the revision numbers on NIST SP documents – specific items updated: <ul style="list-style-type: none"> • III.A • III.B.1 – 4 • IV. References
3.3.5 – Access Control	175 - 177	Updated to reflect the revision numbers on NIST SP documents – specific items updated: <ul style="list-style-type: none"> • III.A.1.a and c • IV. References
4.1.1 – Policies and Procedures	186	Updated III.A.7.e to reflect federal Health and Human Services (HHS), Office for Civil Rights (OCR) investigations regarding Right of Access findings.
4.1.2 – Privacy Training	187 – 188	Updated III.A.1 and III.C.1 to reflect federal Health and Human Services, Office for Civil Rights investigations regarding Right of Access findings.
4.4.1 – Business Associate Agreement	207 – 211	Updated to reflect the revision numbers on NIST SP documents – specific items updated: <ul style="list-style-type: none"> • II. Policy • IV. References Updated III.D to reflect CDT Cloud Computing Policy (Technology Letter 14-04 and SAM Section 4983). Additionally, VI. Attachments updated to reflect new document “Guidance on HIPAA and Resellers of Cloud Computing Services.”
5.2.1 – Patient’s (Individual’s) Right to Amend Medical Records	234	Updated III.B to removed “while not required by law” since this is required by law.

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5.3.1. – Notice of Privacy Practices	239	Final Rule – Nondiscrimination in Health and Health Education Programs or Activities, Delegation of Authority (RIN 0945-AA11) – updated: <ul style="list-style-type: none"> • III.H – to update translation requirements
5.4.1 – Patient’s (Individual’s) Right to Access Health Information	242 – 243	AB2520 – Access to medical records. (Chapter 101, September 18, 2020) – updated: <ul style="list-style-type: none"> • III.B.2 and D.1 to reflect persons that are to be granted a free copy of their medical records
Definitions	254, 256, 259, 267	Updated to reflect the revision numbers on NIST SP documents – specific definitions updated: <ul style="list-style-type: none"> • Audit Logs • Media Replaced citation for CA Civil Code § 1798.82 (not applicable to public sector organizations) with CA Civil Code § 1798.29 – specific definitions updated: <ul style="list-style-type: none"> • Breach • Encryption
Summary of Privacy Laws	277 - 293	New appendix providing a summary of federal and state privacy laws.
Attachment – 4.4.1 - Guidance on HIPAA and Resellers of Cloud Computing Services	n/a	New attachment