Outline of

Policies and Procedures

For

Health Information Exchange Organization

# Introduction and Overview of Policies and Procedures

## Description of Health Information Exchange Organization (“HIO”)

## Description of Policies and Procedures document (including scope, and other policies and procedures that may apply) (§§ 1.5.17, 15.3)

## Development of Policies and Procedures; How Policies and Procedures May Change (§§ 2.1.1, 2.1.3)

# System and Services

## System (§ 1.5.18)

### Description

### Functionality

### Service Standards

### Standards for Use

## Services (§ 1.4)

### Description

### Communications among Participants and HIO

### Standards for Use

## Additional Services (§ 1.5.1)

## Other Activities (§ 11.9)

## Maintenance of System and Services (§ 11.4)

## Ownership Rights in System and Services (§§ 6.1.2, 7.1.2)

## Permitted Purposes for Use of System and Services (§ 6.2)

## Prohibited Uses of System and Services (§ 6.3)

# Participants

## Participant Types (§ 2.2.3)

## Applications for Participation (§§ 2.2.4, 11.2)

## Monitoring Participants (§ 11.3)

## Transparency and Oversight (§§ 17.1, 17.2)

## Enforcement and Accountability (§ 17.3)

# Authorized Users

## Required Information for Authorized Users (§ 4.1)

## Training (§§ 4.2, 11.5)

## Support (§ 11.6)

# Security of Patient Data

## Standards (§ 9.1)

## Measures Provided by HIO

## Measures Provided by Participant (§5.3)

## Reporting of Breaches and Security Incidents (§ 9.2)

# Privacy of Patient Data

## Consent and/or Authorization Requirements

## Permitted Uses of Patient Data (§ 6.6)

### Use by HIO

### Use by Data Recipients

## Prohibited Uses of Patient Data (§§ 6.6, 7.5.1)

### Use by HIO

### Use by Data Recipients

## Limitations on Disclosure of Patient Data (§ 7.6.1)

## Required Disclosures by HIO

# Exchange of Patient Data

## Data Provided by Data Providers (§ 7.2)

## Measures to Assure Accuracy of Data (§ 7.3)

# Technology

## Associated Technology

## Participant’s Required Hardware and Software (§5.4)

# HIO Operations

## HIO’s Privacy and Security Standards

### Policies and Procedures

### Technical, Administrative and Physical Safeguards

### Reporting of Breaches and Security Incidents

## Audits and Reports (§ 11.7)

# Fees

## Fee Schedule (§ 13.2)

## Miscellaneous Charges (§ 13.4)

# Insurance (§ 16.1)

## HIO’s Insurance

## Participant’s Insurance