

Department of Developmental Services Regional Center Operations Funding Current Year 2014-15 Budgeted Costs	Core Staffing ¹		Community Placement Plan (CPP) ²		Staffing for Collection of Federal Financial Participation for Contracted Services ³		Enhanced Caseload Ratio 1:45 for Two Years ⁴		Early Start Restoration of Eligibility Criteria Effective January 1, 2015 ⁵	Agnews Ongoing Workload ²		Lanterman Closure ²	
	Funding	Positions	Funding	Positions	Funding	Positions	Funding	Positions	Funding	Funding	Positions	Funding	Positions
Salaries and Wages	\$ 365,504,024	10,452.94	\$ 14,218,999	160.31	\$ 1,515,528	42.00	\$ 344,000	6.40	\$ 2,306,000	\$ 2,792,157	29.90	\$ 2,395,000	25.00
Staff Benefits	86,624,454				359,180								
Salary Savings	(15,121,591)				(103,109)								
Operating Expense and Equipment	40,766,000		693,600		121,800					101,551		85,000	
Rent	52,746,000		1,209,255		334,656					51,800		192,375	
Early Start/Part C Administrative and Clinical Support ¹¹	694,000												
Budget Reductions													
Intake and Assessment 2003-04	(4,465,000)												
Unallocated Reduction 2001-02	(10,559,000)												
Cost Containment 2004-05	(5,968,000)												
Savings Target 2009-10	(12,000,000)												
Cost Containment 2011-12	(3,486,000)												
Unallocated Reduction 2011-12	(5,400,000)												
Budget Balancing Reduction 2009-10 Reduce CPP Operations	0		-1,902,000										
Other Federal Compliance Funds													
ICF-DD Administrative Fees													
Projects													
Total (unrounded)	\$ 489,334,887		\$ 14,219,854		\$ 2,228,055		\$ 344,000		\$ 2,306,000	\$ 2,945,508		\$ 2,672,375	
TOTAL (Rounded)	\$ 489,335,000	10,423.00	\$ 14,220,000	160.00	\$ 2,228,000	42.00	\$ 344,000	6.00	\$ 2,306,000	\$ 2,946,000	30.00	\$ 2,672,000	25.00

Department of Developmental Services Regional Center Operations Funding Current Year 2014-15 Budgeted Costs	Compliance with HCBS Waiver Requirements ⁶		Increase in Case Managers to Meet Federal Audit Requirements ⁷		Other Funds for Federal Compliance ⁸	ICF-DD Administrative Fees ⁹	Projects ¹⁰	Total Funding and Positions	
	Funding	Positions	Funding	Positions	Funding	Funding	Funding	Funding	Positions
Salaries and Wages	\$ 6,157,956	125.80	\$ 8,655,791	216.76				\$ 403,889,455	11,052.71
Staff Benefits	1,925,190		2,051,422					90,960,246	
Salary Savings			(214,041)					(15,438,741)	
Operating Expense and Equipment	584,582		707,248					43,059,781	
Rent	542,011		1,752,708					56,828,805	
Early Start/Part C Administrative and Clinical Support ¹¹								694,000	
Budget Reductions									
<i>Intake and Assessment 2003-04</i>								(4,465,000)	
<i>Unallocated Reduction 2001-02</i>								(10,559,000)	
<i>Cost Containment 2004-05</i>								(5,968,000)	
<i>Savings Target 2009-10</i>								(12,000,000)	
<i>Cost Containment 2011-12</i>								(3,486,000)	
<i>Unallocated Reduction 2011-12</i>								(5,400,000)	
<i>Budget Balancing Reduction 2009-10 Reduce CPP Operations</i>								(1,902,000)	
Other Federal Compliance Funds					26,721,000			26,721,000	
ICF-DD Administrative Fees						1,678,000		1,678,000	
Projects							3,653,011	3,653,011	
Total (unrounded)	\$ 9,209,740		\$ 12,953,128		\$ 26,721,000	\$ 1,678,000	\$ 3,653,011	\$ 568,265,558	
TOTAL (Rounded)	\$ 9,200,000	126.00	\$ 12,953,000	217.00	\$ 26,721,000	\$ 1,678,000	\$ 3,653,000	\$ 568,266,000	11,053.00

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Footnotes:

1/ See attached Core Staffing Formulas. This funding is updated based upon data on population and HCBS Waiver enrollment, amongst other things.

2/ It is assumed that the salaries and wages amount includes staff benefits.

3/ Staffing for collection of federal financial participation for contracted services - This funding provides one Community Program Specialist I and one Account Clerk II for each regional center. This funding will be required until the regional centers have a billing system (CADDIS) that allows for the processing of vendor invoices that do not include the individual consumer data required to support HCBS Waiver billing. Funding for this function was set in 2006-07 and does not get updated.

4/ Enhanced caseload ratio of 1:45 for two years - This funding provides for one regional center case manager for every 45 individuals who move from a developmental center for an additional year, or a total of 6.4 positions, for two years. After the second year, the ongoing caseload ratio becomes 1:62.

5/ Early Start restoration of eligibility criteria, effective January 1, 2015 - These funds are for additional case management positions for an estimated 2,500 early start consumers. For budget year 2015-16 both the funding and number of regional center positions will be determined based upon the core staffing formula.

6/ Compliance with HCBS Waiver Requirements - This funding is to ensure the regional center system maintains compliance with the HCBS Waiver. Functions include maintaining average service-to-consumer caseload ratios at not more than 1:62; performing quarterly face-to-face monitoring of consumers residing in out-of-home living arrangements; clinical consultation, monitoring and review of consumers health status; developing and annually reviewing Waiver consumers' IPP and Client Developmental Evaluation Reports. Funding for this function was implemented in 2005-06 and does not get updated.

7/ Case Managers to Meet HCBS Waiver Requirements - This funding is to ensure that the Waiver participant to case manager ratio of 62:1 is consistently met and is updated based upon HCBS Waiver enrollment and associated number of Client Coordinator Positions.

8/ Other Federal Compliance Funds - This funding is for workload associated with both fiscal and program requirements placed on the regional center that enable the State to receive federal funding. This workload includes ongoing tasks, such as reviewing choice statements, handling complex notice of action issues related to the HCBS Waiver, completing annual HCBS Waiver certification/recertification forms, preparing for program audits, determining billable services, reconciling data, ensuring records are maintained in accordance with applicable federal requirements for accuracy and completeness, reviewing case records, participating in training on HCBS Waiver policies and procedures, resolving eligibility/compliance issues, etc. In addition, regional centers are required to complete ongoing tasks related to the Targeted Case Management (TCM) and Nursing Home Reform programs such as ensuring compliance with Medicaid State Plan requirements for case management activities and ensuring those admitted to nursing facilities receive appropriate screenings. Funding for HCBS Waiver and TCM activities is set based upon 1995-96 reimbursement levels.

9/ICF-DD Administrative Fees - This funding is for administrative costs incurred by regional centers billing on the behalf of ICF-DD facilities and is updated based upon the purchase of services costs for day treatment and transportation services for residents of ICF-DDs.

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Footnotes:

10/Projects - Of the \$23.9 million budgeted for projects, \$3.7 million is allocated to the regional centers. The \$3.7 million in funding is for regional center application support for SANDIS and IT system hardware maintenance, Foster Grandparent/Senior Companion Program, Increased Access to Mental Health Services, and Sherry S. court case costs. Funding for Increased Access to Mental Health Services does not get updated. The remaining \$20.2 million in projects funds various Interagency Agreements (IAs) in support of the regional centers with/for: (1) Disability Rights California, (2) Life Quality Assessment and Movers Study, (3) Direct Support Professional Training, (4) Office of Administrative Hearings, (5) ASD Best Practices Guidelines, (6) Special Incident Report/Risk Assessment, (7) legal support for federal program activities, (8) Univerity Enterprises, Inc. for statistical forecasting assistance in estimating regional center costs, (9) technical assistance on housing related issues obtained through IA with the Department of Housing and Community Development, (11) review of SB 1175 housing proposals and (12) IA with DHCS for review of treatment plans and the approval of claims for adult dental servces not covered as a Medi-Cal benefit consistent with the DHCS Denti-Cal program.

11/ These costs were initially budgeted in 1994-95 and included \$350,000 for administrative support to perform the following administrative activities: administrative tracking of Federal Part H funds, single audit act requirements, data collection, reporting, and appeal procedures; and \$476,000 for additional clinical staff resources that will be needed to meet the Federal Part H requirement that the initial individualized Family Service Plan must be completed within 45 days. These administrative support and clinical support costs were negotiated between the Department and the Association of Regional Center Agencies. Around 2000-01, these costs were rolled into core staffing and split between \$694,000 for personal services and \$152,000 for operating expenses which is in the operating expense and equipment base.