

**REGIONAL CENTER CASELOAD RATIOS
HISTORICAL INFORMATION**

- Welfare and Institutions Code (WIC) Section 4640.6, circa 1997-1998, read:

“Contracts between the department and regional centers may include any staffing requirements and requirements related to the delivery of services which the department determines are necessary to ensure maximum cost-effectiveness and to ensure that the service needs of consumers and families are met.”

There were no specific caseload ratio requirements.

- AB 2780, Statutes of 1998, amended WIC Section 4640.6 to specify that, “Contracts between the department and regional centers shall require regional centers to have case management consumer-to-staff ratios that reflect an overall average of 62 consumers to each staff member...”
- AB 1107, Statutes of 1999, amended WIC Section 4640.6 to specify that regional centers were required to have average service coordinator-to-consumer ratios, as follows:
 - 1:62 for consumers under age 3.
 - 1:62 for consumers over age 3 who had not moved from a developmental center (DC) to the community since April 1993.
 - 1:45 for consumers who moved from a DC to the community since April 1993.

Additionally, AB 1107 required regional centers to begin reporting the data to the Department of Developmental Services (Department) in September and March of each fiscal year (FY). See Table 1.

Table 1. Number of Regional Centers Out of Compliance with Caseload Ratio Requirements from 1999 to 2003

Report Month	Under 3 [1:62]	Over 3, Non-Mover [1:62]	DC Movers [1:45]	Total RCs Out of Compliance in One or More Areas
Sep 1999	3	6	-	9
Mar 2000	1	8	2	9
Sep 2000	1	7	1	7
Mar 2001	3	11	-	12
Sep 2001	2	10	-	10
Mar 2002	4	13	1	14
Sep 2002	5	14	2	15
Mar 2003	2	10	1	10

- AB 1762, Statutes of 2003, amended WIC Section 4640.6 to specify that, beginning in January 2004, regional centers were required to have average service coordinator-to-consumer ratios, as follows:
 - 1:62 for consumers on the Home and Community-based Services (HCBS) Waiver. [new requirement]
 - 1:62 for consumers under age 3.
 - 1:62 for consumers who moved from a DC to the community since April 1993 and lived in the community for **more** than 12 months. [expansion/specification of prior requirement]
 - 1:45 for consumers who moved from a DC to the community since April 1993 and had lived in the community for **less** than 12 months. [expansion/specification of prior requirement]
 - 1:66 for consumers who were not on the HCBS Waiver, not under age 3, and had not moved from a DC to the community since April 1993. [expansion/ specification of prior requirement; eased ratio]

Commencing with FY 03/04, regional centers were required to report the data once annually to the Department. See Table 2.

Table 2. Number of Regional Centers Out of Compliance with Caseload Ratio Requirements from 2004 to 2014

Report Year	Waiver Consumers [1:62]	Under 3 [1:62]	DC Movers Over 12 Months [1:62]	DC Movers Last 12 Months [1:45]	Over 3, Non-Waiver, Non-Mover [1:66]	Total RCs Out of Compliance in One or More Areas
2004	6	4	-	2	8	12
2005	13	9	3	3	10	16
2006	5	2	1	2	9	11
2007	5	4	-	-	9	9
2008	7	8	1	-	9	11
2009 2010	Regional center reporting requirements were statutorily suspended in 2009 and 2010.					
2011	15	4	6	1	N/A*	16
2012	17	9	6	1	N/A*	17
2013	13	3	4	-	N/A*	14
2014	14	7	7	-	21	21

* The 1:66 ratio was statutorily lifted from February 1, 2009, to June 30, 2013.

- Beginning in FY 2014-15, regional centers were allocated additional funding to maintain 1:45 caseload ratios for an additional year for all consumers transitioning from a DC to the community.